

Board of Accountancy Washington State

Enforcement Report Calendar Year 2014

Quarter	3/31/2014	6/30/2014	9/30/2014	12/31/2014	Year-to-date
<u>Complaints received during quarter</u>					
Negligent Performance of Attest	2	9	0	0	11
Negligence, Incompetence, Disregard	21	15	9	16	61
Use of Restricted Titles	11	2	3	4	20
Borrowing, Theft, Embezzlement, Breach of Fiduciary Duty	0	0	0	0	0
Breach of Confidentiality	0	0	0	0	0
Records Retention Causing Harm	0	4	2	1	7
Licensing Administrative Requirements	10	0	0	21	31
Total complaints received during quarter	44	30	14	42	130
Complaints dismissed during quarter					
<u>Complaints closed by Board Action</u>					
Revocation	0	0	0	2	2
Suspension	0	0	0	0	0
Practice Restriction	0	0	0	0	0
Board Order - Fines/costs/other sanctions	5	3	3	12	23
Administrative Sanctions	1	0	1	6	8
Reinstatements	0	0	0	0	0
Total investigations closed by Board action	6	3	4	20	33